IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) |
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| Ronald E. Sloan et al. |) Group Art Unit: 3694 |
| Application No.: 09/704,838 |) Examiner: Apple, Kirsten Sachwitz |
| Filed: November 1, 2000 |)) |
| For: USER INTERFACE FOR A FINANCIAL MODELING SYSTEM |) Confirmation No.: 4308) |
| Commissioner for Patents P.O. Box 1450 | |

Sir:

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicant brings to the attention of the Examiner the documents on the attached PTO SB/08 Form. This Information Disclosure Statement is being filed concurrently with a Request for Continued Examination under 37 C.F.R. § 1.114 for the above-referenced application.

Copies of the U.S. patent publications are not enclosed. English Abstracts of JP 2001-209721 and JP 100-93729 are enclosed. Copies of the listed foreign and non-patent literature documents are attached.

Applicant further brings the following information to the attention of the Examiner.

• The search report for PCT/US02/25488 (WO 03/17040) cited, as an "X" document, on June 23, 2002, a press release, "Webline Communications Unveils New Customer Interaction Software Suite for Enabling Interactive E-Commerce and Customer Service", Business Wire; New York; Apr 20, 1999, pages 1-3. It is suspected that the reference was mis-cited by the PCT search organization, because a press release of the same title and

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from the same source but of a different date (August 16, 1999, not April 20, 1999) was found. The August 16, 1999, press release is attached.

- "Quicken Deluxe for Windows", Intuit Inc., Copyright 1997, Ziff-Davis, Inc. was cited as a reference in an International Preliminary Examination Report dated February 10, 2002, in PCT/US00/41855 (WO 01/67334). It is suspected that the reference was mis-cited by the PCT search organization, because no such reference was found despite diligent search.
- Texas Instruments TI-89 Advanced Graphing Calculator, from http://www.amazon.com, hard-copy printed 03/18/03, is 623 pages. The reference was cited in an Office Action in U.S. Patent Application No. 09/579,852 on March 25, 2003. Because of its length, only the following excerpts of the reference are attached: Table of Contents, Chapter 16, and Chapter 23, totaling 56 pages.
- TI-89 and Voyage[™] 200PLT product guide, and TI-89/92 Plus Graphing Calculator Tasks (copyright 1995-2003) is 3,419 pages. The reference was cited in an Office Action in U.S. Patent Application No. 09/579,852 on March 25, 2003. Because of its length, only the following excerpts of the reference, totaling 158 pages, are attached:
 - Voyage 200PLT Graphing Calculator: pages 1-3, 117-126, 540-571, and 753-757;
 - TI-89 Titanium Graphing Calculator: pages 1-3, 119-127, 540-571, and 757-761;
 - TI-89/92 Plus Graphing Calculator: pages 1-2, i-xl (Table of Contents), and 949-958; and
 - o Math 105 TI-89/92 Plus Graphing Calculator Tasks: all 5 pages.

Applicant respectfully requests that the Examiner consider the listed documents and the information disclosed above and indicate that they were considered by making appropriate notations on the attached form and in a subsequent action.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant

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reserves the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account 06-0916.

Respectfully submitted,

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Dated: April 9, 2010

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